

# Accountability Frameworks

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**ccNSO meeting, Kuala Lumpur  
19-20 July 2004**



# The purpose of presentation

- Brief ccNSO on current thinking
- Provide some ideas
- Engage in a process

# ICANN's core values (1)

In performing its mission, ICANN adheres to these core values and principles:

1. Preserve and enhance the operational stability, reliability, security, and global interoperability of the Internet.
2. Respect the creativity and innovation made possible by the Internet by limiting ICANN's activities to those matters within ICANN's mission requiring or significantly benefiting from global coordination.
3. To the extent feasible, delegate coordination functions to responsible entities that reflect the balance of interests of affected parties.
4. Seek and support broad, informed participation reflecting the functional, geographic, and cultural diversity of the Internet, at all levels of policy development and decision-making.
5. Where feasible, depend on market mechanisms to promote and sustain a competitive environment.

# ICANN's core values (2)

6. Introduce and promote competition in the registration of domain names where practicable and beneficial in the public interest.
7. Employ open and transparent policy development mechanisms that
  - (a) promote well-informed decisions based on expert advice, and
  - (b) ensure that those entities most affected can assist in the policy development process.
8. Make decisions by applying documented policies neutrally and objectively, with integrity and fairness.
9. Act with a speed that is responsive to the needs of the Internet while, as part of the decision-making process, obtaining informed input from those entities most affected.
10. **Remain accountable to the Internet community** through mechanisms that enhance ICANN's effectiveness.
11. **Act with sensitivity to the public interest and related governmental concerns**, so that the need for direct governmental action is minimized.

# *ICANN and ccTLD managers*

- ccTLD managers: working with local internet community and national government
- ICANN: accountable to the global internet community
- A joint interest in stability and security of the Internet

# *Risk management*

*operational, technical, legal, economic, political*

Opportunities:

partnership, co-operation, trust, understanding,

Threats:

business continuity, natural disasters, political problems, transfer of operations, ??????

# *An Accountability Framework*

*a meaningful partnership between ICANN and the ccTLD manager*

- recognising the respective roles
- aimed to develop mutual trust and respect
- based on mutual commitments
- aimed to jointly manage identified risks
- based on broadly agreed baselines
- but, individually tailored in respect of national circumstances
- as light-weight as possible

# *Developing AF's*

- Broadly agreed baselines, which ICANN would like to see developed in the ccNSO
- Tailored discussions with individual ccTLD managers
- Document ICANN's responsibilities to the ccTLD managers and the broader community
- Further discussion/interaction on operational matters in the ccNSO
- Possible PDP's in the ccNSO if and where needed



# Possible ICANN commitments

- Recognition of the ccTLD manager
- Performances/best practices
- Use of secure communications
- Authentication procedures
- Maintenance of IANA databases
- Emergency procedures
- Publication of statistics

# *Suggestions for consideration by the ccNSO*

- Which general principles should be recognised in the AF?
- What commitments should be expected from the ccTLD manager?
- What commitments should be expected from ICANN? (particularly from IANA)
- The role of national governments?
- How to articulate in the AF that interests of the global internet community are taken into account
- Which considerations with regard to stability and security at global level ought to be addressed?
- Which other issues should the AF address?
- How should any Board decisions, addressed by the ccNSO, be implemented ?