ICANN 63

ANNUAL GENERAL

BARCELONA

20–25 October 2018

Program Update

ICANN Contractual Compliance

ICANN 63
24 October 2018

Agenda

- Brief Update Since ICANN 61
    - Registrar Compliance Update
    - Registry Compliance Update
    - Enhanced Transparency in Reporting
    - Contractual Compliance Audit Update

- Questions and Answers

- Appendix for your reference
    - PICDRP Update
    - Policy Efforts

Registrar Compliance Update

Registrar Outreach Update

Contractual Compliance participated in the following events:

⊙ China outreach visit in Guangzhou, China (April 2018)

⊙ Registrar Workshop in Dakar, Senegal organized by ICANN org as part of Africa Internet Summit 2018 Senegal (May 2018)

⊙ Registrar outreach in Seoul, South Korea organized by Korea Internet & Security Agency (KISA) (August 2018)

⊙ Registrar site visit in North America (September 2018)

⊙ To learn more, please visit Compliance Outreach page at this link https://www.icann.org/resources/compliance-reporting-performance

Registrar Compliance Update

1 **Temporary Specification and Compliance**
Implementation and enforcement of Temporary Specification

2 **Temporary Specification – Complaint Processing**
ICANN Contractual Compliance complaint processing post Temporary Specification

3 **Temporary Specification – Transfer Validation**
Transfer complaint processing in light of Temporary Specification Appendix G

4 **Temporary Specification – RAA Obligations Unchanged**
Registrar obligations unchanged by Temporary Specification

5 **Temporary Specification – Reporter Perspective**
Common complaints from reporters post Temporary Specification

5. Temporary Specification – Reporter Perspective

Common complaints from reporters post Temporary Specification

- ⊙ Reporter believes registration data is "missing" from public WHOIS

- ⊙ Reporter believes all non-European data should be displayed

- ⊙ Reporter wants their registration data to be displayed

- ⊙ Reporter believes privacy/proxy service data are redactions

- ⊙ Registry WHOIS output is displayed recursively by registrar

- ⊙ Email address or web form used for redactions is non-functional

- ⊙ Registry WHOIS service is not displaying required message in email fields

- ⊙ Gaining registrar continues to require FOA even when not required

Registry Compliance Update

Registry Compliance Update

1 — **Temporary Specification and Compliance**
Implementation and enforcement of Temporary Specification

2 — **Temporary Specification – Complaint Processing**
ICANN Contractual Compliance complaint processing post
Temporary Specification

3 — **Temporary Specification – Bulk Registration Data**
Transfer of bulk registration data to ICANN

4 — **Temporary Specification – RA Obligations Unchanged**
Registry obligations unchanged by Temporary Specification

5 — **Temporary Specification – Reporter Perspective**
Common complaints from reporters post Temporary Specification

Enhanced Transparency in Reporting

Enhancing Transparency in Reporting

- New quarterly report for both registrars and registries, "Closed Complaints by Inquiry/Notice Category", available starting Quarter One 2018

- Effective 1 July 2018, no further quarterly newsletters are published

- Input to Subgroup #1 – SSR1 Review focused on reviewing implementation of first Security, Stability and Resiliency (SSR1)

- Input to questions received from Expedited Policy Development Process (EPDP) team

- Blog published July 2018 on Enforcing the Temporary Specification

- Reports and input are published at https://features.icann.org/compliance

Contractual Compliance Audit Update

Contractual Compliance Audit Program Update

- ⊙ Audit plans updated with expanded questions and testing to address DNS abuse
  - ⊙ Requests focus on process, procedures and handling of DNS abuse
  - ⊙ Testing focuses on review of security threat reports

- ⊙ March 2018 Compliance launched full registry audit using updated plan
  - ⊙ 20 new gTLDs were in scope of this audit round
  - ⊙ Audit round completed 1 October 2018
  - ⊙ Audit Report being prepared for publication

- ⊙ New registry audit round will start end of October 2018

- ⊙ No plans for new registrar audit round at this time

- ⊙ Enhanced audit reporting – publish list of auditees selected for a current round

Questions & Answers

Send compliance questions

To: compliance@icann.org

Subject line: ICANN 63 Program Update Session

The ICANN 63 presentations are available at:

- The ICANN Contractual Compliance outreach page at this link
https://www.icann.org/resources/compliance/outreach

- The ICANN 63 Schedule page at this link https://schedule.icann.org/

Appendix

PICDRP Update
Policy Efforts

PICDRP Update

Public Interest Commitment Dispute Resolution

Public Interest Commitment Dispute Resolution Procedure

- ⊙ ICANN Complaints Office received and responded to complaint regarding ICANN Contractual Compliance PICDRP complaint handling

- ⊙ 3 October 2018 report published at https://www.icann.org/en/system/files/files/complaint-response-c-2018-00010-23aug18-en.pdf

- ⊙ Recommendation to align procedure with principles of transparency by sharing communications between all parties during PICDRP Standing Panel evaluation period

Policy Efforts

Policy and Working Group Efforts - Registrar

Actively contributing to Registrar-related policies, Working Groups and Implementation Review Teams

⊙ WHOIS Review Team

⊙ Translation and Transliteration of Contact Information

⊙ Privacy and Proxy Services Accreditation Issues

⊙ Security, Stability and Resiliency Review Team

⊙ Internationalized Domain Name guidelines

⊙ Expedited Policy Development Process on Temporary Specification for gTLD Registration Data

Note: Reports and input are published at https://features.icann.org/compliance

Policy and Working Group Efforts – Registry

Actively contributing to Registry-related policies, Working Groups and Implementation Review Teams

- ⊙ New gTLD Subsequent Procedures

- ⊙ Competition, Trust and Choice Review

- ⊙ Security, Stability and Resiliency Review Team

- ⊙ Internationalized Domain Name guidelines

- ⊙ Expedited Policy Development Process on Temporary Specification for gTLD Registration Data

Note - Reports and input are published at https://features.icann.org/compliance